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## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/877,405	06/08/2001	Peter R. David	22700-708

**CONFIRMATION NO. 7697**

**FORMALITIES LETTER**



\*OC00000006400064\*

Date Mailed: 08/08/2001

**NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION**

09/25/2001 SMINASSI 00000003 232415 09877405      FILED UNDER 37 CFR 1.53(b)

01 FC-201	355.00 CH
02 FC-205	65.00 CH
03 FC-203	153.00 CH

***Filing Date Granted***

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 355 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$153.
  - \$153 for 17 total claims over 20.
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 573.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

*K*  
*A copy of this notice MUST be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

2025 RELEASE UNDER E.O. 14176



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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/877,405	06/08/2001	1765	0.00	22700-708	22	37	2

021971  
WILSON SONSINI GOODRICH & ROSATI  
650 PAGE MILL ROAD  
PALO ALTO, CA 943041050

**DOCKETED**

CONFIRMATION NO. 7697

## FILING RECEIPT



OC000000006400063\*

Date Mailed: 08/08/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

**Applicant(s)**

Peter R. David, Residence Not Provided, -- Palo Alto, CA --  
Nathaniel E. David, Residence Not Provided, -- San Diego, CA --

**Domestic Priority data as claimed by applicant****Foreign Applications****If Required, Foreign Filing License Granted 08/08/2001****Projected Publication Date:** To Be Determined - pending completion of Missing Parts**Non-Publication Request:** No**Early Publication Request:** No**\*\* SMALL ENTITY \*\*****Title**

--In-- Situ crystal growth and crystallization

**Preliminary Class**

117

09-20 - 01

SECTOR  
PATENT  
*[Handwritten signatures and initials]*

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



Int'l application of: David, et al.  
 Serial No.: 09/877,405  
 Filed: June 8, 2001  
 For: IN SITU CRYSTAL GROWTH AND CRYSTALLIZATION

Group No.: 1765  
 Examiner: NA

Commissioner for Patents  
 Washington, D.C. 20231

## COMPLETION OF FILING REQUIREMENTS—NONPROVISIONAL APPLICATION

I. This replies to the Notice to File Missing Parts of Application (PTO-1533) mailed August 8, 2001. A copy of the Notice to File Missing Parts of Application—Filing Date Granted (Form PTO-1533) is enclosed.

## DECLARATION OR OATH

II. No declaration or oath was filed. Enclosed is the original declaration or oath for this application.

## POWER OF ATTORNEY

III. Enclosed is an original Power of Attorney by Assignee to Exclusion of Inventor.

## SUBSTITUTE DRAWINGS

IV. Enclosed are Substitute Drawings in compliance with 37 CFR 1.84.

## SMALL ENTITY STATUS

V. Applicant claims small entity status. See 37 CFR 1.27.

## COMPLETION FEES

1. Filing Fee: Original patent application (37 C.F.R. 1.16(a))	\$355.00
2. Fee for Claims: Each claim in excess of 20 (37 C.F.R. 1.16(c))	\$153.00
3. Surcharge Fee: Late payment of filing fee (37 C.F.R. 1.16(e))	\$65.00
4. Assignment (See "ASSIGNMENT COVER SHEET".)	\$40.00
5. Total completion fees	\$613.00

## REQUEST FOR CORRECTED FILING RECEIPT

VI. Attached is a copy of the official filing receipt for which issuance of a corrected filing receipt is respectfully requested. There are errors with respect to the following, which are incorrectly entered.

## Error in

## Correct data

1. Title --In-- Situ Crystal Growth and Crystallization  
 2. Applicant name and/or addresses -Peter R. David, --Palo Alto, CA--; Nathaniel E. David, --San Diego, CA--

At least one of the above corrections is due to applicant's error and the fee under 37 C.F.R. 1.19(h), of \$25.00 applies.

## TOTAL FEE DUE

VII. The total fee due is: Completion fees \$613.00 and Corrected Filing Receipt Fees \$25.00

TOTAL FEE DUE \$638.00

## PAYMENT OF FEES

VIII. Charge Account No. 23-2415 (22700-708) in the amount of \$638.00.

Please charge Account No. 23-2415 (22700-708) for any fees that may be due by this paper.

*David J. Weitz*  
 David J. Weitz, Reg. No. 38,362

Wilson Sonsini Goodrich & Rosati  
 650 Page Mill Road  
 Palo Alto, CA 94304  
 (650) 493-9300  
 Customer No. 021971

I hereby certify that this correspondence is being deposited with the United States Postal Service as Express Mail (Label No.: EL 682 479 689 US) in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on this date: September 18, 2001

Date: 9/18/01

*Annette Palladino*  
 Annette Palladino